

17613 U.S. PTO
07/03UTILITY
PATENT APPLICATION
TRANSMITTAL(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.	19730-0004
Named Inventor(s)	L et al.
Title	Structur and Manufacturing M th d for Single-Wav length and Multi-Wav length Distributed F dback Lasers
Express Mail Label No.	EV330773015US

17613 U.S. PRO
10/6/03
08/07/03

APPLICATION ELEMENTS		Commissioner for Patents ADDRESS TO: P.O. Box 1450 Alexandria, VA 22313-1450
1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i>	2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	10. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies
3. <input checked="" type="checkbox"/> Specification, Claims, and Abstract Total Pages 34	4. <input checked="" type="checkbox"/> Drawings Total Sheets 11	11. <input checked="" type="checkbox"/> Assignment: <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) b. <input type="checkbox"/> Assignment is of record in parent application No.
5. Oath or Declaration a. <input checked="" type="checkbox"/> Newly executed (original or copy)	b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 19 completed)</i>	12. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <ul style="list-style-type: none"> <input type="checkbox"/> Power of Attorney by assignee
(i) <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		13. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>
6. <input type="checkbox"/> Incorporation by Reference <i>(usable if Box 5b is checked)</i> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	7. <input type="checkbox"/> Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i). <input type="checkbox"/> Form PTO/SB/35	14. <input type="checkbox"/> Information Disclosure Statement (IDS) PTO-1449 <ul style="list-style-type: none"> <input type="checkbox"/> Copies of IDS Citations (<u> </u> references)
8. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	9. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	15. <input type="checkbox"/> Preliminary Amendment
19. If a CONTINUING APPLICATION , check appropriate box and supply the requisite information and in a preliminary amendment, or in an Application Date Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: Prior application information: Examiner: Group Art Unit: Recite complete dependency back to first parent application:	16. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>	17. <input type="checkbox"/> Certified Copy of Priority Document(s)
20. CORRESPONDENCE ADDRESS: Malvern U. Griffin III SUTHERLAND ASBILL & BRENNAN LLP 999 Peachtree Street, NE Atlanta, Georgia 30309-3996	By: <u>Malvern U. Griffin III</u> Date: August 7, 2003 Telephone: 404-853-8233 Facsimile: 404-853-8806	Reg. No. 38,899

I hereby certify that this correspondence is being deposited with the United States Postal Service in an Express Mail envelope (EV330773015US) addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, this 7th day of August, 2003.

Rebecca Smith
Rebecca Smith

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PATENT TRADEMARK OFFICE

FEE TRANSMITTAL

Attorney Docket No. 19730-0004

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): Lee et al.

Filing Date: August 7, 2003

Serial No.: To Be Assigned

Title: Structure and Manufacturing Method for Single-Wavelength and
Multi-Wavelength Distributed Feedback Lasers

The filing fee is calculated as shown below:

1. FILING FEE:

FOR:	SMALL ENTITY		LARGE ENTITY	
	FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$375		\$750	
<input type="checkbox"/> DESIGN FILING FEE	\$165		\$330	
<input type="checkbox"/> PLANT FILING FEE	\$260		\$520	
<input type="checkbox"/> REISSUE FILING FEE	\$375		\$750	
<input type="checkbox"/> PROVISIONAL FILING FEE	\$80		\$160	
	SUBTOTAL (1)	\$375		

2. CLAIMS:

FOR:	SMALL ENTITY		LARGE ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE
TOTAL CLAIMS	46 - 20 =	26	x 9 =	\$234
INDEP. CLAIMS	4 - 3 =	1	x 42 =	\$42
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+140 =	\$140
			SUBTOTAL ()	\$416

3. ADDITIONAL FEES:

FOR:	SMALL ENTITY		LARGE ENTITY	
	FEE	FEE PAID	FEE	FEE PAID
<input type="checkbox"/> LATE FILING, FEE OR OATH	\$65		\$130	
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130		\$130	
<input checked="" type="checkbox"/> OTHER - Assignment Recordation		\$40		
	SUBTOTAL (3)	\$40		

TOTAL FILING FEES: \$831

A check is enclosed for the total amount: \$831

Charge any additional fees required to Deposit Account 19-5029.

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Date: August 7, 2003